

# Privacy-Enhancing Models and Mechanisms for Securing Provenance and its Use

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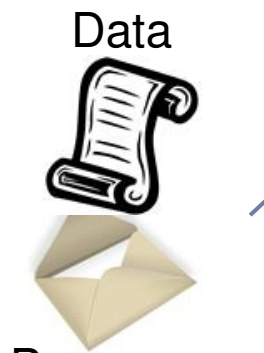
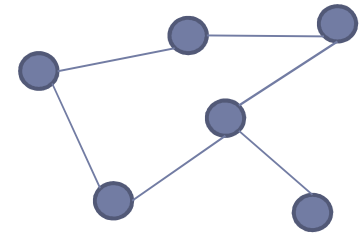
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# Provenance Helps Enhance Security

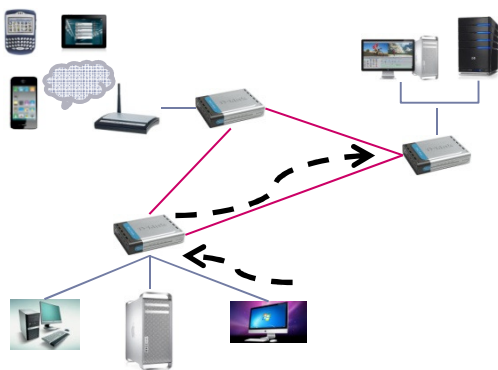
Access and Usage Control  
of Data and its Provenance



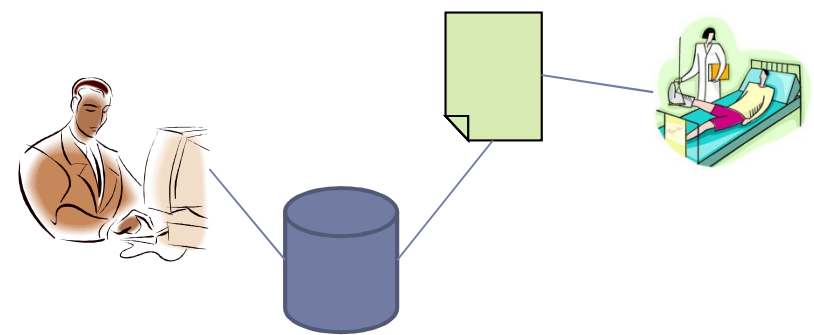
Data Trustworthiness  
(e.g., sensor networks)



Data Forensics  
(e.g., SIM tools)



Data Privacy  
(e.g., track hospital records)



etcetera

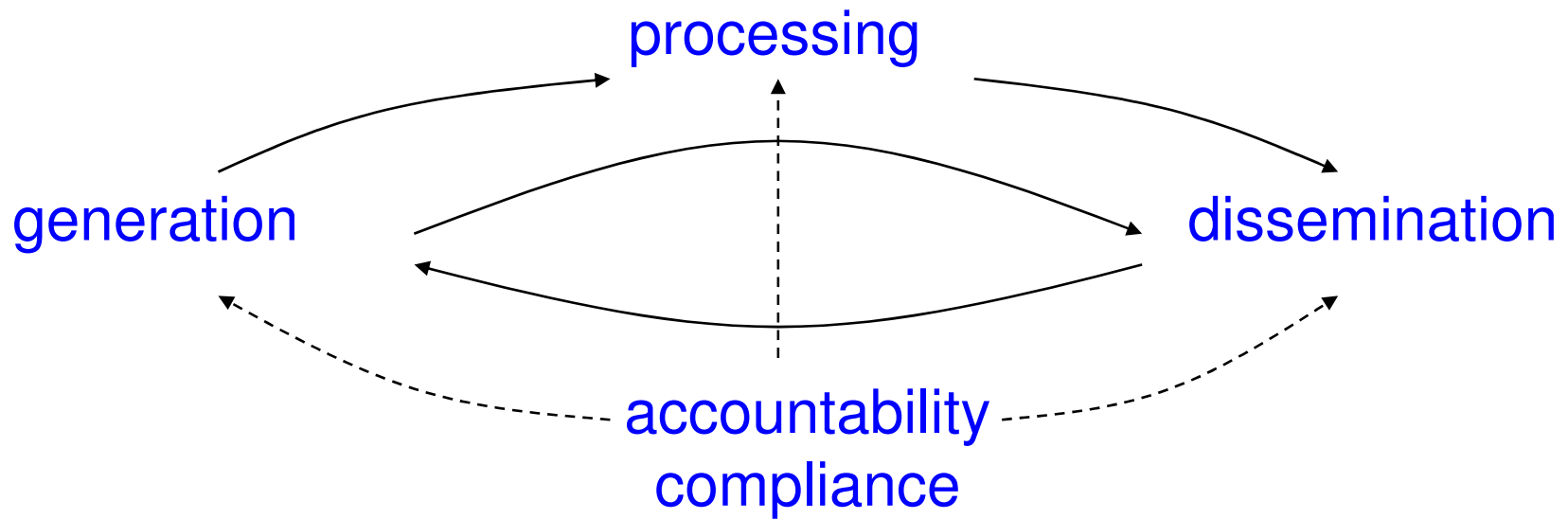
# But Provenance Itself Must Be Secured!

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- ▶ **Security Requirements for Provenance**
  - ▶ Access and Usage Control
    - ▶ Only authorized users may access provenance, and data based on provenance for appropriate purpose
  - ▶ Privacy
    - ▶ Provenance disclosed at a level that preserves data and source privacy
  - ▶ Integrity
    - ▶ Ensure that provenance is authentic and not tampered with
  - ▶ Accountability
    - ▶ Subjects accountable for data changes, even when they are anonymous
- ▶ **Contributions**
  1. Privacy-enhancing framework (i.e., models and mechanisms) for securing provenance lifecycle
  2. Design and implementation of mechanisms for secure provenance management at OS layer and Data Layer

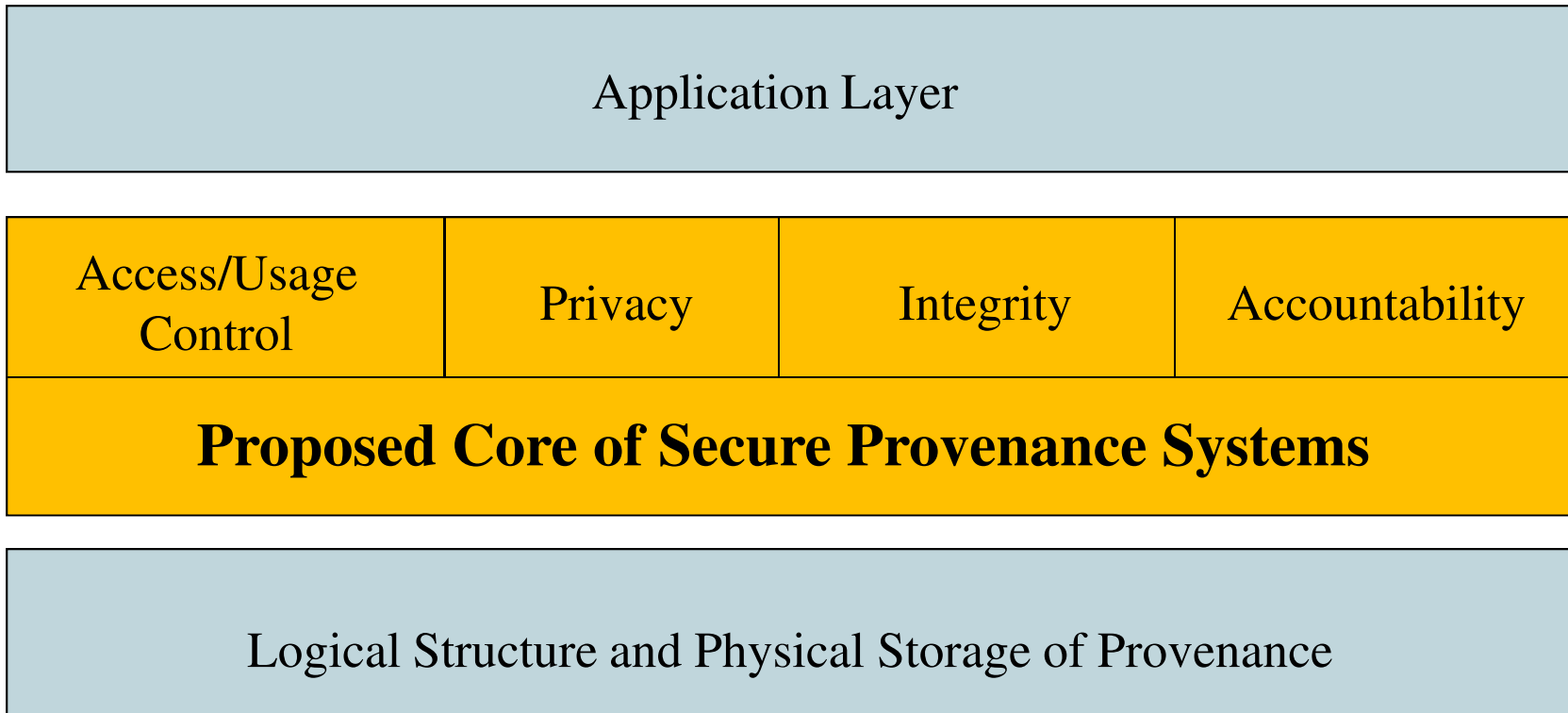
# Provenance Life Cycle

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# Proposed Secure Provenance Core Layer

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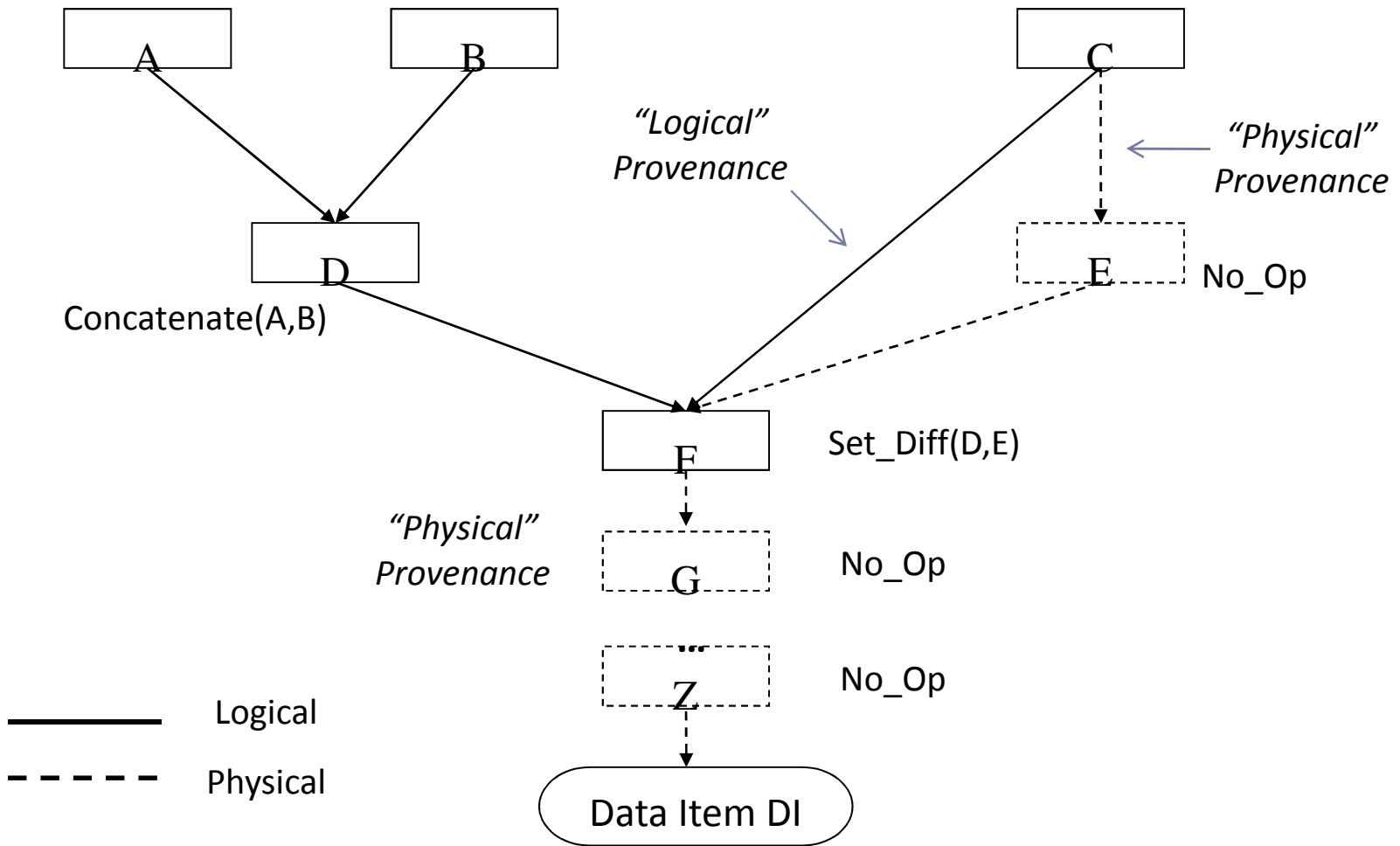


# Representation of Provenance

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- ▶ **Directed Acyclic Graph (DAG)**
  - ▶ Nodes represent entities (sources) that forward/modify data
  - ▶ Node labels capture type of operation performed
    - ▶ E.g., concatenation, set difference, etc.
- ▶ **Edges capture data flow**
  - ▶ Logical and physical provenance
    - ▶ Logical provenance captures actual changes in data
    - ▶ Physical provenance models “forwarding only” cases
  - ▶ People and system/machine provenance
    - ▶ People attributes changes in data to a person or organization
    - ▶ System/machine tracks provenance wrt software/hardware

# Provenance DAG Example



# Access/Usage Control: Challenges

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- ▶ **Traditional access control models not applicable**
  - ▶ Existing techniques do not apply to DAGs\*
- ▶ **Complexity of authorization conditions**
  - ▶ Authorization may depend on sequence of operations performed by sources
  - ▶ Changes in policy
- ▶ **Conflict resolution**
  - ▶ Sources that contribute to the same data object may have conflicting policy requirements
  - ▶ Reconciliation of source and recipient policies

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\* U. Braun et al, "Securing Provenance", In Proc. of HotSec '08



# Privacy Challenges and Techniques

	<i>Private Data</i>	<i>Non-Private Data</i>
<i>Private Provenance</i>	The most demanding protection scenario	E.g., news article must protect sources One challenge is to ensure that data contents do not inherently identify source
<i>Non-Private Provenance</i>	E.g., identities of hospital patients must remain private, but the hospital where records originated may be released	

- ▶ Sanitization
  - ▶ Release provenance at appropriate granularity levels
  - ▶ Generalize provenance
    - ▶ E.g., instead of releasing employee and department name who modified document, only release organization name
- ▶ Cryptography
  - ▶ Employ advanced cryptographic techniques that allow private evaluation of conditions
    - ▶ E.g., private similarity evaluation of two provenance DAGs

# Integrity & Accountability: Challenges and Techniques

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- ▶ **Integrity:**
  - ▶ Authenticate source and content of provenance information
- ▶ **Accountability**
  - ▶ Non-repudiation of a source's role in the provenance chain even if anonymized for privacy
- ▶ **Techniques**
  - ▶ Conventional digital signatures may not be suitable
    - ▶ Provenance is highly dynamic and may include multiple sources that may not know/trust each other
    - ▶ Sources may need to remain anonymous
  - ▶ Non-interactive Editable Signatures for Provenance
    - ▶ Novel cryptographic techniques

# Prototypes

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## 1. Secure Provenance Management for OS

- ▶ Provenance protection is achieved through trusted VMMs running within a higher trust domain than the OS
- ▶ OS-independent mechanism, where provenance is embedded as watermark in the data
  - ▶ Maintains compatibility with existing applications

## 2. XML Document Dissemination System

- ▶ Provenance is maintained at XML element level (fine-grained)
- ▶ Protection through cryptographic tokens

# Summary

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Application Layer

Access/Usage Control	Privacy	Integrity	Accountability
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**Proposed Core of Secure Provenance Systems**

Logical Structure and Physical Storage of Provenance

► Contributions

1. Privacy-enhancing framework (i.e., models and mechanisms) for securing provenance lifecycle
2. Design/implementation of mechanisms for secure provenance management at OS layer and Data Layer